

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 016998-004300US
INTERNATIONAL APPLICATION NO. PCT/IB2004/003674		INTERNATIONAL FILING DATE 10 November 2004
TITLE OF INVENTION OPTICAL DATA TRANSMISSION, OPTICAL DATA TRANSCEIVERS AND METHOD OF MANUFACTURING AND PACKAGING THEREOF		PRIORITY DATE CLAIMED 10 November 2003
APPLICANT(S) FOR DO/EO/US DE PAUW, Piet		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
18. ☒ A second copy of the published International Application under 35 U.S.C 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/570027</div> <div style="font-size: 0.8em; text-align: center;">To Be Assigned</div>	INTERNATIONAL APPLICATION NO. PCT/IB2004/003674	ATTORNEY'S DOCKET NUMBER 016998-004300US
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20. Other items or information: Express Mail Label No. EV 325545894 US, mailed on May 10, 2006
 1) Preliminary Amendment (10 pages); 2) Application Data Sheet (ADS); 3) International Publication No. WO 2005/045499 A1 (with International Search Report) 4) International Search Report with Written Opinion 5) PCT Forms: PCT/RO/101 (4 sheets); PCT/RO/105; PCT/IB/304; 6) Return Postcard

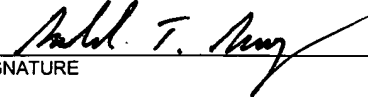
The following fees have been submitted	CALCULATIONS	PTO USE ONLY												
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) \$300	\$ 300													
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))														
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0	\$ 200													
All other situations \$200														
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))														
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0														
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100														
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400	\$ 400													
All other situations \$500														
TOTAL OF 21, 22 and 23 =	\$ 900													
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.														
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 35%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 35%;">RATE</th> </tr> <tr> <td style="text-align: center;">38</td> <td style="text-align: center;">0</td> <td></td> <td style="text-align: center;">\$250</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	38	0		\$250	\$00.00					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE											
38	0		\$250											
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).	\$													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 40%;">RATE</th> </tr> <tr> <td>Total claims</td> <td style="text-align: center;">41 - 20</td> <td style="text-align: center;">21</td> <td style="text-align: center;">x \$ 50</td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">7 - 3</td> <td style="text-align: center;">4</td> <td style="text-align: center;">x \$200</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	41 - 20	21	x \$ 50	Independent claims	7 - 3	4	x \$200	\$ 1050.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE											
Total claims	41 - 20	21	x \$ 50											
Independent claims	7 - 3	4	x \$200											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$360													
TOTAL OF ABOVE CALCULATIONS =	\$1850.00													
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.														
SUBTOTAL =	\$1850.00													
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).	+	\$												
TOTAL NATIONAL FEE =	\$2750.00													
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	+	\$												
TOTAL FEES ENCLOSED =	\$ 2750.00													
	Amount to be refunded:	\$												
	Amount to be charged:	\$ 2750.00												

- APR 20 Rec'd PCT/PTO 10 MAY 2006
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 20-1430 in the amount of \$ 1700.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-1430. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No.: 20350 (GTG/sea)
TOWNSEND AND TOWNSEND AND CREW LLP
2 Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834


SIGNATURE
Gerald T. Gray
NAME
41,797
REGISTRATION NUMBER